

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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In re Patent Application of:  
Calvin B. GRIGSBY

Application No.: 09/896,831

Confirmation No.: 5861

Filed: June 28, 2001

Art Unit: 3692

For: METHOD AND APPARATUS FOR  
OFFERING, PRICING, AND SELLING  
SECURITIES OVER A NETWORK

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Examiner: C. Graham

**AMENDMENT AFTER FINAL ACTION UNDER 37 C.F.R. 1.116**

MS AF  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**INTRODUCTORY COMMENTS**

This is in response to the final Office Action dated October 3, 2007 (Paper No. 20070926), for which the shortened statutory period for response expired on January 3, 2007. Filed herewith is a Petition and fee for a one month extension of time, thereby extending the deadline for response to February 4, 2008. Accordingly, this response is timely filed. Reconsideration and allowance of the pending claims, as amended, in light of the remarks presented herein are respectfully requested.

**Amendments to the Claims** begins on page 2 of this paper.

**Remarks/Arguments** begin on page 11 of this paper.